**CLIENT QUESTIONNAIRE**

**ACCOUNT HOLDER -LEGAL ENTITY**

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| 1. **CLIENT DATA** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| * 1. Full and abridged name: | | | | | | | | | | | | | | | * 1. Number and registration date / fiscal code (IDNO) | | | | | | | | | | | | |
| * 1. Client’s address (country, city, street, block, postal code/phone/e-mail): | | | | | | | * + 1. Legal address: | | | | | | | | | | | | | | | | | | | | |
| * + 1. Office address: | | | | | | | | | | | | | | | | | | | | |
| * + 1. Contact numbers (phone/mobile), fax, e-mail: | | | | | | | | | | | | | | | | | | | | |
| * 1. Persons empowered to operate means/funds within/from the payment accounts | | | | **Name, Surname** | | | | | | | **Position** | | | | | | | | **Contacts** **(phone/mobile, fax, e-mail)** | | | | | | | | |
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| * 1. The client’s contact person | | | |  | | | | | | |  | | | | | | | |  | | | | | | | | |
| * 1. Number of employees: | | | | | | |  | | | | | | | | | | | | | | | | | | | | |
| 1. **BUSINESS DETAILS** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| * 1. Activity area | | | | |  - Industry   - Trade   - Transport   - Telecommunications   - Information technologies   - Tourism | | | | | | | |  - Construction   - Agriculture   - Energetics   - Service provision   - Intermediaries   - Insurance | | | | | | |  - Lawyers   - Gambling games   - Financial service providers   - Other activities *(please specify)* | | | | | | | |
| * 1. Purpose and reason for opening the payment account*(to be completed when opening the account)* | | | | | | | |  - partners’ recommendations   - convenient rates   - Bank’s reputation | | | | | | | | | |  - economic activity in partnership with ECB,   - appealing working conditions   - other reasons *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_. | | | | | | | | | |
| * 1. Do you have accounts opened in other banks?   if **yes** – specify the financial institutions: | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. **PLANNED ACTIVITIES** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| * 1. **Types of banking operations/ payment services planned to be carried out through the accounts opened by the Bank:** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  - local transfers   - transfer operations | | | | |  - contracting loans   - international transfers | | | | | | | |  - cash operations   - brokerage services | | | | | | | | |  - currency exchange   - others *(please specify)* \_\_\_\_\_\_\_\_\_\_\_ | | | | | |
| * 1. **Number of transactions planned to be incurred through the payment accounts opened in the Bank:** | | | | | | | | | | | | | | | | | | | | | |  - up to 20 transactions/month   - from 21 to 60 transactions/month   - more than 60 transactions/ month | | | | | |
| * 1. **Planned average turnover in the payment accounts opened in the Bank (MDL):** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  - 1 – 20,000   - 20,001 – 50,000 | | | | |  - 50,001 – 100,000   - 100,001 – 300,000 | | | | | | |  - 300,001 – 1,000,000   - 1,000,001 - 5,000,000 | | | | | | | | |  - 5,000,001 – 10,000,000   - 10,000,001 or more | | | | | | |
| * 1. **Monthly planned turnover of payment transactions of depositing/withdrawal of cash (MDL):** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  - 1 – 20,000   - 20,001 – 50,000 | | | | |  - 50,001 – 100,000   - 100,001 – 300,000 | | | | | | |  - 300,001 – 1,000,000   - 1,000,001 - 5,000,000 | | | | | | | | |  - 5,000,001 – 10,000,000   - 10,000,001 or more | | | | | | |
| * 1. **Countries of residence of main business partners:** | | | **Name of partner** | | | | | | | | | **Country of residence** | | | | | | | | | **Partner profile** | | | | | | |
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| 1. **COMPLIANCE DECLARATION ON OWN LIABILITY** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| * 1. My accounts have been closed in any licensed bank for the non-compliance with the legal requirements in the KYC / AML / FT/ABC field or any other laws or regulations of the Republic of Moldova | | | | | | | | | | | | |  - no | | | |  - yes, because of (*please briefly describe*): | | | | | | | | | | |
| * 1. Are you a FATCA subject?   ***FATCA (The US Foreign Account Tax Compliance Act)*** *- is a set of US legislative measures aimed at preventing and reducing tax evasion generated by US residents' cross-border activity by avoiding US tax payers using open accounts with non-US financial institutions.* | | | | | | | | | | | | | | | | | | | | | | | | | |  - no |  - yes |
| 1. **IDENTIFICATION OF THE ULTIMATE BENEFICIAL OWNER/S (UBO)** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| * 1. Shareholders (associates) in the social capital of the account holder-economic agent with specifying the fiscal codes of those holding at least 25% of shares or voting rights in the legal entity: | | | | | | | **Name/Name, surname of shareholder/ associate** | | | | | | | | | | | **Fiscal Code** | | | | | | **Workplace, Position** | | | |
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| * 1. For shareholders (associates) of the account holder-economic agent, indicated above who are legal entities, the shareholders (associates are also indicated: | | | | | | | **Name/Name, surname of shareholder/ associate** | | | | | | | | | | | **Fiscal Code** | | | | | | **Workplace, Position** | | | |
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| * 1. Dclaration on UBO identification: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, represented by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   Name of the legal entity Name, surname  *hereby declare that the effective beneficiary of the payment operations within/ from the payment account is/ are:* | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **n/o** | Name, Surname | | | | Birth date | | | | Fiscal code (IDNP) | | | | | Identification act no | | | | | Date of issue | | Issuing authority | | | | Address | | |
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| * 1. There is no any UBO | | | | |  - no | | | |  - yes | | | | | | | | | | | | | | | | | | |
| * 1. Are there any politically exposed people among UBO? | | | | |  - no | | | | ** - da** | | | | | | | | | | | | | | | | | | |
| **Name, Surname** | | | | | | | | | | | **Fiscal code (IDNP)** | | | | | | | |
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| * 1. Are there any FATCA subject among UBO? | | | | |  - nu | | | | ** - da** | | | | | | | | | | | | | | | | | | |
| **Name, Surname** | | | | | | | | | | | **Fiscal code (IDNP)** | | | | | | | |
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| **I hereby confirm:**   1. confirm the correctness and veracity of provided data and assume the obligation to inform immediately Bank in written form of any changes therein 2. money means initially deposited/to be transited through the account is obtained by legal practices. 3. I have become acquainted with the provisions of Article 33 of the Law no.308 / 2017 - Insurance and Liability Measures and I undertake to inform immediately Bank about the modification of the data of the beneficial owner declared above. 4. I confirm that I have become aware that if the statements regarding the closure of the accounts of other banks licensed for reasons of non-compliance with the with the legal requirements in the KYC / AML / FT/ABC field or any other laws or regulations of the Republic of Moldova prove to be unreliable, the Bank will ensure the application of the insurance measures and end the relationship Business. 5. my express and unconditional consent that the personal data presented in this Questionnaire will be processed by the Bank for well-defined, explicit and legitimate purposes related to the proper conduct of the Bank's business, access to the services provided by the Bank, ensuring continuous information and communication, and transmission, directly or through third parties, information about products, services and activities of the Bank and / or its partners, as well as for the Bank to conduct studies related to the Bank's products, services, current or future in accordance with the provisions of Law no.133 of 08.07.2011 on the protection of personal data, the legislation related to the Bank's activity and its internal policies (BC ”EuroCreditBank” S.A. is registered as Personal Data Operator number 0000116 at the National Center for Personal Data Protection in accordance with the provisions of Law No. 133 of 08.07.2011 on protection of personal data, e-mail address for information related to personal data - protectiadatelor@ecb.md); | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Client Questionnaire – account holder legal entity filled by: | | | | | | **Name/surname/position** | | | | | | | | | | | | | | | | | | | | | |
| Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | | | Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  L. Ş. | | | | | | | | | | | | | | | | | | | | | |
| BC “EuroCreditBank” S.A. reserves the right to refuse the executions of the transactions ordered by the client / to terminate the relations with the client within the cases provided by the existing legislation of the Republic of Moldova.  The received information represents a banking secret and will be used by the BC “EuroCreditBank” S.A. only in the exercise of its functions. The access of other persons to this information may be permitted within the cases provided by the existing legislation of the Republic of Moldova.  BC "EuroCreditBank" S.A. reiterates the customer's responsibility to provide truthful information as to the political exposed person and reserves the right not to establish, continue or terminate the business relationship if the customer / its representative refuses to provide Politically exposed persons quality information or provides false data or incomplete to the Bank  Legal measures in the field of preventing and combating money laundering and combating the financing of terrorism:   1. **UBO** - a natural person who ultimately owns or controls a natural or legal person or beneficiary of an investment firm or manager of the investment company, or a person in whose name an activity is carried out or a transaction is carried out and / or which owns, directly or indirectly, the ownership or control of at least 25% of the shares or of the voting rights of the legal person or of the assets under the fiduciary administration. 2. **Politically exposed persons** – individuals exercising or having exercised important public functions at national and / or international level during the last year, as well as members of the governing bodies of political parties. 3. **Family members of politically exposed people** – their spouse, their children and their spouses or the concubine, the parents of the person politically exposed. 4. **Person associated with a politically exposed person** – natural persons known to be that they are in close contact with the person politically exposed, either socially or professionally, business colleagues and / or personal counselors, in particular financial advisers or persons acting in a financial-fiduciary capacity. 5. **Business relationship** – professional or commercial relationship related to the professional activities of the reporting entities and of the persons regulated by the present law and about which, at the moment of establishing the contact, it is considered to be of a certain duration. | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Se completează de Bancă** | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| *Clasificarea clientului după nivel de risc* | | | | | | | | | | **Risc Sporit** **** | | | | | | **Risc Mediu ** | | | | | | | **Risc Scăzut ** | | | | |
| *Mențiunile Băncii:* | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| *Numele/Prenumele și semnătura angajatului:* | | | | | | | | | | | | | |  | | | | | | | | | | | | | |
| *Numele/Prenumele și semnătura șefului/șefului adjunct Sucursală:* | | | | | | | | | | | | | |  | | | | | | | | | | | | | |